

Dr. Andreas Fillmann

Partner

Frankfurt

T +49 69 17392 423

andreas.fillmann@squirepb.com

Languages spoken

English | German



About Andreas

Andreas Fillmann is a partner based in our Frankfurt office. Andreas' core areas are corporate finance, compliance (e.g. ESG, AML, AI and data privacy), corporate and financial regulatory (e.g. Prospectus Regulation, MiFID and CRR), banking and capital markets. He has experience in advising private and public listed companies in relation to ESG reporting requirements, as well as various private and capital markets transactions. Andreas' fintech expertise includes blockchain, crypto-assets, tokenization of assets and rights, as well as digital identity. The quality of his work has been consistently mentioned in *Best Lawyers*, *Juve* and *Chambers*.

He is a member of the European Finance Association, International Banking Forum, Center for International Legal Studies, Deutsches Aktieninstitut, German Blockchain Association and the German and Frankfurt Bar Association.

Andreas advises, in particular, on regulatory issues and represents German and international banks and funds. Furthermore, he advises banks, funds, and national and international companies on financing (equity and debt capital) and aspects relating to capital market law. His experience with derivatives includes, in particular, advising banks, investment banks and hedge funds on swaps and security/hedging transactions on the basis of various national and international framework agreements. Andreas also accompanied a large number of capital market transactions, as well as in connection with both equity and debt capital, including primary and secondary placement of shares, the issue of standalone and structured bonds and issuing programmes for medium-term bonds (MTNs), acting both for issuers and syndicate banks.

Experience

- Advising several fintech and blockchain companies regarding corporate law, and European and national regulatory matters.
- Advising banks and financial institutions seated in Germany regarding EU and national regulatory matters (e.g. Basel III, CRR, CRD IV, EMIR, MiFiDPSD and FATCA) including risk management, data protection, compliance and payment services.
- Advising various national and international investment companies regarding regulatory requirements with respect to management and distribution.

- Advising a Vietnam-based bank on its German branch license application.
- Advising a Slovak Republic-based company on the issue of an €85 million assignable loan agreement (*Schuldscheindarlehen*).
- Advising several German and UK-based companies regarding listings on the Frankfurt stock exchange, as well as other national and international stock exchanges.
- Advising an Iceland-based bank in a distressed situation on various banking and capital market matters.
- Advising an EU central bank on various derivative transactions.
- Advising Investitionsbank Berlin on various bank and capital market aspects.
- Advising national and international entrepreneurs regarding financing matters.
- Advising companies and banks with regard to EU-sanctions.
- Advising HomeServe plc on capital markets transactions and acquisitions.

Credentials

Education

- University of Mainz, Ph.D., 1991
- Higher Regional Court of Koblenz 1987
- University of Mainz, First State Examination, 1984

Admissions

- Germany, 1988

Memberships & Affiliations

- European Finance Association
- International Banking Forum
- Center for International Legal Studies
- Deutsches Aktieninstitut
- German Blockchain Association
- German and Frankfurt Bar Association

Recognitions

- Recommended as one of the best lawyers for corporate law in Germany by *Handelsblatt* (*Deutschlands beste Anwälte 2022*) and *Best Lawyers*.

Expertise

Services

- Corporate
- Financial Services
- Data Privacy, Cybersecurity & Digital Assets

Publications & Speaking Engagements

- Author, "European Commission Notices Concerning Disclosures on Sustainability", *The National Law Review*, 2 December 2024.
- Co-Author, "Update 3 – ESG Laws Across the World", November 2024.
- Author, "Guidelines on Funds' Names Using ESG or Sustainability-Related Terms", *The National Law Review*, 6 November 2024.
- Author, "German Implementation of the Corporate Sustainability Reporting Directive", *The National Law Review*, 3 October 2024.
- Author, "Draft for a Second Act on the Financing of Future-Securing Investments", *Lexology*, 11 September 2024.
- Co-Author, "The German Government Draft CSRD Implementation Act", *Lexology*, 19 August 2024.
- Co-Author, "The Relationship Between the Energy Performance of Buildings Directive and the Extension of the Emissions Trading Scheme to the Buildings Sector", July 2024.
- Co-Author, "Recent and Upcoming EU Energy and Environmental Laws and Regulations", June 2024.
- Co-Author, "Update 2: ESG Laws Across the World", *Lexology*, 23 May 2024.
- Author, "The ESG Rating Provider Regulation", *Lexology*, 23 April 2024.
- Co-Author, "The Highly Debated Corporate Sustainability Due Diligence Directive at Risk of a Definitive Block", *Lexology*, 4 March 2024.
- Author, "DORA Regulation – Europe", *Lexology*, 26 February 2024.
- Author, "Decision of the German Court Causes Waves For Investors but is Welcomed by Creditors of Insolvent Companies", *The National Law Review*, 20 October 2023.
- Author, "Germany Leads The Way With Proposed Legislation Regulating Crypto-Assets Safekeeping That Will Provide Insolvency Protection", *The National Law Review*, 21 September 2023.
- Author, "EU AI Act Proposal and Regulation of Financial Services", *The National Law Review*, 17 July 2023.
- Author, "The German Supply Chain Act On Corporate Diligence Obligations in Supply Chains Is In Effect", *The National Law Review*, 10 February 2023.
- Author, "Comparative Guide, Banking Regulation, Germany", mondaq, June 2022.
- Author, "Securities Finance 2021", Germany chapter, *Lexology – Getting the Deal Through – Securities Finance*, March 2021.
- Author, "Implications of the EU-UK Trade Agreement on UK Financial Services", *The National Law Review*, 21 January 2021
- Author, "New Trade Deal Between the EU and the UK", *The National Law Review*, 29 December 2020.
- Author, "EU AI Act Proposal and Regulation of Financial Services", *The National Law Review*, December 2020.
- Author, "Reflections on COVID-19 – Views From Germany", *The National Law Review*, 10 September 2020.
- Author, "A French-German Initiative for the European Recovery from the Coronavirus Crisis", *The National Law Review*, 26 May 2020.
- Author, "COVID-19 Pandemic and the German Federal Government company aid measures", *The National Law Review*, 11 May 2020.

- Author, "German Law Aspects of Crypto Assets", *The National Law Review*, 2 April 2023.
- Author, "Coronavirus/COVID-19: Update Legal Issues in Germany", *The National Law Review*, 1 April 2020.
- Author, "New insolvency regulation in Germany aimed at supporting businesses in distress", *The National Law Review*, 25 March 2020.
- Author, "Germany Suspends The Obligation For Companies To File For Insolvency", *The National Law Review*, 20 March 2020.
- Co-Author, "Key Legal Issues for Business in Germany", LinkedIn, March 2020.
- Author, "Securities Finance 2020", Germany chapter, *Lexology –Getting the Deal Through – Securities Finance*, March 2020.
- Author, "Securities Finance 2019", Germany chapter, *Lexology –Getting the Deal Through – Securities Finance*, May 2019.
- Author, "Securities Finance 2018", Germany chapter, *Lexology –Getting the Deal Through – Securities Finance*, May 2018.
- Author, "Validity Of Bridge Loans In Pre-Insolvency Scenarios In Germany", 28 September 2017.
- Author, "European Central Bank Launches Public Consultation on Guidance to Banks on Non-Performing Loans", 22 September 2016.
- Author, "The Act on Fighting Corruption in the Healthcare Sector", 15 July 2016.
- Author, "German Administrator of Maple Bank GmbH seeks Chapter 15 Recognition in US", 24 February 2016.
- Author, "Hypo Group Alpe Adria AG's Bondholders Resist Cramdown in Austria", 26 January 2016.

About our firm

One of the world's strongest integrated law firms, providing insight at the point where law, business and government meet. We deliver commercially focused business solutions by combining our legal, lobbying and political capabilities and invaluable connections on the ground to a diverse mix of clients, from long-established leading corporations to emerging businesses, startup visionaries and sovereign nations. More than 1,500 lawyers in over 40 offices across four continents provide unrivaled access to expertise.