

Joseph Walker

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About Joseph

Joseph Walker has more than 20 years of experience successfully managing investigations regarding fraud, corruption and securities issues. He is a former Department of Justice Criminal Division, Fraud Section, lawyer where he was a primary Foreign Corrupt Practices Act (FCPA) prosecutor.

In private practice, Joe represents companies and executives in FCPA internal investigations, enforcement actions, compliance and defense matters before the US Securities and Exchange Commission (SEC), the Office of Foreign Assets Control (OFAC), the US Department of Justice (DOJ), the UK's Serious Fraud Office and similar authorities. While at the DOJ, Joe was a member of several task forces, including the Securities Fraud Task Force and DOJ's Task Force into corruption allegations relating to the award of the Winter Olympics to Salt Lake City. Joe also acted as lead prosecutor on several high-profile matters, such as the first-ever joint FCPA enforcement action between the SEC and the DOJ against KPMG and Baker Hughes (which is now the template for FCPA enforcement); an insider trading matter against the former CFO of a waste removal company; and a tax fraud prosecution involving an executive of the US Olympic Committee.

Joe provided counsel in high-profile matters, including leading the internal investigation for HealthSouth (relating to the first criminal indictment under the Sarbanes-Oxley Act); representing an executive for Credit Suisse under indictment for allegations related to tax evasion by US citizens; working on notable FCPA investigations; and representing financial institutions in enforcement matters with the Consumer Finance Protection Bureau and the Federal Deposit Insurance Corporation. Joe was appointed by the DOJ and the SEC to be the FCPA compliance monitor of Weatherford International Ltd., a large multinational corporation in the oil and gas industry, to monitor its FCPA Compliance Program in connection with a deferred prosecution agreement and settlement with those agencies. Joe has acted as counsel to the monitor for FCA US LLC in the first-ever appointment of a monitorship by the Department of Transportation's National Highway Traffic Safety Administration.

Joe also represents boards of directors, audit committees, officers, senior management and employees of global corporations in enforcement and criminal matters around the world and he frequently conducts internal investigations and due diligence for global clients. Such matters have included allegations of FCPA violations, healthcare fraud, *qui tam* and False Claims Act (FCA) actions,

insider trading and similar matters. These matters have included such locations as Brazil, China, France, India, Indonesia, Korea, Kyrgyzstan, Malaysia, Nigeria, Pakistan, the Philippines, Russia, Singapore, Switzerland, Thailand, Turkestan, Turkmenistan, the UK, the UAE and Uzbekistan.

Experience

- Representing a Fortune 500 company in a billion-dollar action alleging FCA violations related to the Superstorm Sandy recovery effort.
- Obtained declination of prosecution for a Chinese corporation from the DOJ after a multiyear investigation into bribery allegations.
- Represented an insurance-adjusting firm in more than 100 cases involving allegations of Racketeer Influenced and Corrupt Organizations Act (RICO) violations, fraud, breach of contract and negligence in connection with Hurricane Katrina.
- Representing and advising the audit committee of a public US company in DOJ and SEC investigations regarding bribes in Asia.
- Representing a gaming company in anticorruption and other compliance matters, including internal investigations in Asia and Europe.
- Defending a financial services executive in a market timing proceeding brought by the SEC.
- Defending a company in a multidefendant prosecution in the Southern District of New York against allegations of antitrust and tax violations.
- Avoiding prosecution for a country manager in an FCPA investigation by the DOJ in which the company was charged.
- Conducting an internal investigation of a Fortune 100 healthcare company relating to allegations of violations of US securities laws and the Sarbanes-Oxley Act.
- Conducting an internal investigation of allegations of bribery relating to the acquisition by a US-based public company of a company in Asia.
- Convincing the SEC to voluntarily dismiss with prejudice a complaint against a client for insider trading.

Credentials

Education

- University of Minnesota Law School, J.D., 1995
- The George Washington University, B.A., 1992

Admissions

- District of Columbia

Courts

- U.S. Ct. of App., Fourth Circuit

Memberships & Affiliations

- Member, *Journal of Law and Inequality*, University of Minnesota School of Law

Recognitions

- Named a top criminal defense-white collar attorney by *Washingtonian* magazine

- Listed as a Top Rated White Collar Crimes Attorney in Washington DC by *Super Lawyers*

Expertise

Services

- Government Investigations & White Collar

About our firm

One of the world's strongest integrated law firms, providing insight at the point where law, business and government meet. We deliver commercially focused business solutions by combining our legal, lobbying and political capabilities and invaluable connections on the ground to a diverse mix of clients, from long-established leading corporations to emerging businesses, startup visionaries and sovereign nations. More than 1,500 lawyers in over 40 offices across four continents provide unrivaled access to expertise.